

King Fahd University of Petroleum & Minerals
Department of Mathematics and Statistics
Math 535: Functional Analysis Exam 1, Spring Semester 212

Problem 1: (20 points)

Let V be a finite-dimensional vector space and let U_1 and U_2 be two sub-spaces of V . Show that one can write

$$\dim(U_1 + U_2) = \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2).$$

Problem 2:(20 points)

Give an example of a complete metric space and another example for a metric space that is not complete. Justify your answer.

Problem 3: (30 points)

Let X be a metric space with a dense subset $A \subset X$ such that every Cauchy sequence in A converges in X . Prove that X is complete.

Problem 4: (30 points)

Let (X, d) be a complete metric space. Let $\{f_n : X \rightarrow X, n = 1, 2, \dots\}$ be a sequence of contractions with the same constant k and let $\{f : X \rightarrow X\}$ be a contraction with the same constant k such that $f(x) = \lim_{n \rightarrow \infty} f_n(x)$ for all $x \in X$. If the fixed point of f is x^* and the fixed point of f_n is x_n^* for $n = 1, 2, \dots$, then show that

$$x^* = \lim_{n \rightarrow \infty} x_n^*.$$

Good luck
Manal Alotaibi

Problem 1: (20 points)

Let V be a finite-dimensional vector space and let U_1 and U_2 be two sub-spaces of V . Show that one can write

$$\dim(U_1 + U_2) = \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2).$$

Let V be finite dimensional vector space U_1, U_2 are spaces of V
by a theorem $U_1 \cap U_2$ has finite basis $\{\alpha_1, \alpha_2, \dots, \alpha_k\}$

part of a basis $\{\alpha_1, \alpha_2, \dots, \alpha_k, \beta_1, \beta_2, \dots, \beta_m\}$ for $U_1 \Rightarrow \dim U_1 = k+m$

and part of a basis $\{\alpha_1, \alpha_2, \dots, \alpha_k, \gamma_1, \gamma_2, \dots, \gamma_n\}$ for $U_2 \Rightarrow * \Rightarrow \dim U_2 = k+n$

Then the subspace $U_1 + U_2$ is spanned by the vectors

$$\{\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_k, \beta_1, \beta_2, \dots, \beta_m, \gamma_1, \gamma_2, \dots, \gamma_n\}$$

and these vectors form an Independent set. i.e.

$$\sum x_i \alpha_i + \sum y_j \beta_j + \sum z_r \gamma_r = 0$$

$$\Rightarrow \sum x_i \alpha_i + \sum y_j \beta_j = -\sum z_r \gamma_r$$

$\Rightarrow \Rightarrow \sum z_r \gamma_r$ belongs to U_1 . As it belongs to U_2 as given in *

for certain scalars $\sum z_r \gamma_r = \sum e_i \alpha_i$ because of * is independent $z_r = 0 \forall r$

$$\Rightarrow \sum x_i \alpha_i + \sum y_j \beta_j = 0$$

and since $\{\alpha_1, \alpha_2, \dots, \alpha_k, \beta_1, \beta_2, \dots, \beta_m\}$ is also independent

$$\Rightarrow x_i = 0 \text{ and } y_j = 0 \quad \forall i, j$$

Thus, $\{\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_k, \beta_1, \beta_2, \dots, \beta_m, \gamma_1, \gamma_2, \dots, \gamma_n\}$

is a basis for $U_1 + U_2$ and has the dimension $k+m+n$

$$\begin{aligned} \Rightarrow \dim U_1 + \dim U_2 &= (k+m) + (k+n) \\ &= k + (m+k+n) \\ &= \dim(U_1 \cap U_2) + \dim(U_1 + U_2) \end{aligned}$$

Therefore,

$$\boxed{\dim(U_1 \cap U_2) = \dim U_1 + \dim U_2 - \dim(U_1 + U_2)}$$

Problem 2:(20 points)

Give an example of a complete metric space and another example for a metric space that is not complete. Justify your answer.

* Example of a complete metric space.

The metric space (\mathbb{R}, d) is complete
where $d(x, y) = |x - y| \quad \forall x, y \in \mathbb{R}$

By considering any sequence $\{x_n\}$ in (\mathbb{R}, d)

- we can immediately get $\{x_n\}$ is bounded by theorem (5.4.3.a)
- But we know that every bounded sequence of real numbers
- must have convergent subsequence by theorem (3.4.2)
- thus this seq. must be converges. By theorem (5.4.3.b)

Therefore, (\mathbb{R}, d) is complete.

* Example of not complete metric space.

The metric space (\mathbb{Q}, d) is not complete.

Consider, the sequence $\{r_n\}$ such that

$\{r_n\}$ represented in decimal system

$r_n = 1.a_1a_2 \dots a_n$ is the largest rational number

that satisfy $r_n^2 < 2$

Thus, we obtain the sequence

$$\begin{aligned} r_1 &= 1.4 \\ r_2 &= 1.41 \\ r_3 &= 1.414 \\ r_4 &= 1.4142 \\ r_5 &= 1.41421 \dots \end{aligned}$$

For $n > m$

$$d(r_m, r_n) = r_n - r_m = 0.0 \dots 0 a_{m+1} \dots a_n < \frac{1}{10^m}$$

$$\Rightarrow d(r_m, r_n) \rightarrow 0 \quad \text{as } m \rightarrow \infty$$

note that $d(r_1, r_2) = |r_1 - r_2|, r_1, r_2 \in \mathbb{Q}$

$\Rightarrow \{r_n\}$ is Cauchy seq.

However, $\{r_n\} \rightarrow \sqrt{2} \notin \mathbb{Q}$

Thus, the space of rational numbers is not complete.

Problem 3: (30 points)

Let X be a metric space with a dense subset $A \subset X$ such that every Cauchy sequence in A converges in X . Prove that X is complete.

• Let (x_n) be a Cauchy sequence in X

since A dense in X

we can choose a sequence (a_n) in A such that

$$d(x_n, a_n) \rightarrow 0 \text{ as } n \rightarrow \infty$$

(i.e. choose $a_n \in A$ s.t. $d(x_n, a_n) < 1/n$)

• Given any $\epsilon > 0$, $\exists M \in \mathbb{N}$ s.t.

$$d(x_n, a_n) < \epsilon/3 \text{ and } d(x_m, x_n) < \epsilon/3 \quad \forall m, n > M \text{ so}$$

$$\begin{aligned} d(a_m, a_n) &\leq d(a_m, x_m) + d(x_m, x_n) + d(x_n, a_n) \\ &\leq \epsilon/3 + \epsilon/3 + \epsilon/3 = \epsilon \end{aligned}$$

$\Rightarrow (a_n)$ is Cauchy sequence in A

$\Rightarrow (a_n) \rightarrow x$, for some $x \in X$

• Given any $\epsilon > 0$, $\exists N \in \mathbb{N}$ s.t.

$$d(x_n, a_n) < \epsilon/2 \text{ and } d(a_n, x) < \epsilon/2 \quad \forall n > N$$

$$\begin{aligned} d(x_n, x) &\leq d(x_n, a_n) + d(a_n, x) \\ &\leq \epsilon/2 + \epsilon/2 = \epsilon \end{aligned}$$

which shows that (x_n) converges to x

Therefore, X is complete.

Problem 4: (30 points)

Let (X, d) be a complete metric space. Let $\{f_n : X \rightarrow X, n = 1, 2, \dots\}$ be a sequence of contractions with the same constant k and let $\{f : X \rightarrow X\}$ be a contraction with the same constant k such that $f(x) = \lim_{n \rightarrow \infty} f_n(x)$ for all $x \in X$. If the fixed point of f is x^* and the fixed point of f_n is x_n^* for $n = 1, 2, \dots$, then show that

$$x^* = \lim_{n \rightarrow \infty} x_n^*.$$

$$\textcircled{1} \quad f_n(x_n^*) = x_n^* \quad \forall n = 1, 2, \dots$$

$$\textcircled{2} \quad f(x^*) = x^*$$

if $f_n \rightarrow f$, let $\varepsilon > 0$ and choose N s.t.
now, $\forall n \geq N$

$$\begin{aligned} d(x_n^*, x^*) &\leq d(f_n(x_n^*), f(x^*)) \\ &\leq d(f_n(x_n^*), f(x_n^*)) + d(f(x_n^*), f(x^*)) \\ &\leq \varepsilon(1+k) + k d(x_n^*, x^*) \end{aligned}$$

$$(1-k)d(x_n^*, x^*) \leq \varepsilon(1+k)$$

$$d(x_n^*, x^*) \leq \varepsilon \quad \forall n \geq N$$